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Docket No.: SONY 3.0-020
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,112

Group Art Unit: 2161

Filed: December 11, 2001

Examiner: Not Yet Assigned

For: SYSTEM AND METHOD FOR CONDUCTING
SECURE TRANSACTIONS OVER A
NETWORK

Commissioner for Patents
Washington, DC 20231

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APR 05 2002
Technology Center 2100

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Dated: March 28, 2002

Respectfully submitted,

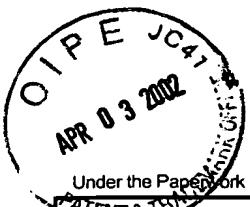
By 
Matthew B. Demier

Registration No.: 40,989
LERNER, DAVID, LITTBENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorneys for Applicant

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PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

Application Number	10/014,112	RECEIVED APR 05 2002 Technology Center 2100	
Filing Date	December 11, 2001		
First Named Inventor	Harold A. Lutke		
Art Unit	2161		
Examiner Name	Not Yet Assigned		
Attorney Docket Number	SONY 3.0-0220		

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA	5,744,787		04-28-1998	Teicher	
AB	5,521,362		05-28-1996	Powers	
AC	5,461,217		10-24-1995	Claus	
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AJ	5,945,652		08-31-1999	Ohki et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶

¹Applicant's unique citation designation number (optional). ²See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
CA		U.S. Patent Application No. 10/014,960, filed December 11, 2001, entitled "Method Or System For Executing Deferred Transactions".	
CB		U.S. Patent Application No. 10/015,070, filed December 11, 2001, entitled "Method And System Of Conducting Network-Based Transactions".	
CC		U.S. Patent Application No. 09/483,584, filed December 11, 2000, entitled "Secure Electronic Commerce System".	

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